



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Klein L. Johnson

Examiner: Mooney, Michael P.

Serial No.: 09/342,801

Group Art Unit: 2877

Filed: June 29, 1999

For: HERMETIC CHIP-SCALE PACKAGE FOR PHOTONIC DEVICE

Docket No.: 1139.1125101 (H16-25073 US)

TRANSMITTAL SHEET

Box Amendment

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL921287887US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 20th day of November, 2002.

By

Lynn Thompson
Lynn Thompson

We are transmitting herewith the attached:

- [X] Amendment/Response to Election/Restriction Requirement
- [X] No additional fee required
- [X] Request For One Month Extension of Time
- [X] Supplemental Information Disclosure Statement, Form PTO 1449, 13 cited references
- [X] Fee Transmittal For FY 2002 authorizing payment in the amount of \$290.00 (\$110.00 1 mo/extension) (\$180.00 Supplemental IDS) to be charged to Honeywell Deposit Account No. 01-1125.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

By:

Brian N. Tufte
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TECHNOLOGY CENTER 2800

FEE TRANSMITTAL **For FY 2002**

Patent fees are subject to annual revision.

COMPLETE IF KNOWN

Application No. 09/342,801
Filing Date June 29, 1999
First Named Inventor Klein L. Johnson
Group Art Unit 2877
Examiner Name Michael P. Mooney
Attorney Docket No. H16-25073 US (1139.112510)

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NOV 20 2002
TECHNOLOGY CENTER 2800

TOTAL AMOUNT OF PAYMENT \$290.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125
Deposit Account Name Honeywell International Inc.

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid
101	740	201	370	Utility Filing Fee	
106	330	206	185	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	
SUBTOTAL (1)					\$

2. EXTRA CLAIM FEES

Total Claims 20 = 20 x 11.00 = 220.00
Independent Claims 3 = 3 x 84.00 = 252.00
Multiple Dependent Claims 0 = 0 x 260.00 = 0.00

Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,250	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	110.00
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,150	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavolable	
141	1,280	241	840	Petition to revive - unintentional	
142	1,280	242	840	Utility issue fee (or release)	
143	450	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	180.00
126	180	126	180	Submission of Information Disclosure Statement	
681	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	3370	Request for Continued Examination (RCE)	
189	900	189	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$290.00

SUBMITTED BY

Name (Print / Type) Andrew A. Abeysa
Signature

Registration No. 39,582
(Attorney/Agent)

Complete (if applicable)

Telephone 602-313-3345
Date 11-20-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.